DAVID J. VAN HAVERMAAT (Cal. Bar No. 175761) 1 Email: vanhavermaatd@sec.gov 2 JENNIFER T. CALABRESE (Cal. Bar No. 247976) Email: calabresej@sec.gov 3 Attorneys for Plaintiff 4 Securities and Exchange Commission Michele Wein Layne, Regional Director 5 Alka Patel, Associate Regional Director Amy J. Longo, Regional Trial Counsel 6 444 South Flower Street, 9th Floor Los Angeles, California 90071 7 Telephone: (323) 965-3998 Facsimile: (213) 443-1904 8 9 UNITED STATES DISTRICT COURT 10 DISTRICT OF NEVADA 11 12 SECURITIES AND EXCHANGE Case No. CV-17-1681-APG-PAL COMMISSION, 13 STATUS REPORT RE: SETTLEMENT Plaintiff, 14 VS. 15 CANNAVEST CORP. a/k/a/ CV 16 SCIENCES, INC. and MICHAEL J. MONA, JR., 17 Defendants. 18 19 20 Pursuant to the Court's Order for Status Report (Dkt. No. 28), plaintiff 21 Securities and Exchange Commission ("SEC") and Defendants Canna Vest Corp. 22 ("CannaVest") and Michael J. Mona, Jr. ("Mona") submit the following status 23 report regarding the tentative settlement of this action. 24 In December 2017, plaintiff SEC and defendants Canna Vest and Mona reached a tentative resolution of this action. Counsel for Canna Vest and Mona 25 26 provided the executed consents to entry of final judgment in January 2018. 27

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1	The settlements are tentative because the SEC Commissioners in	
2	Washington D.C. have the final authority to approve the settlement proposals. The	
3	approval process typically takes several weeks, and the SEC staff has been	
4	working diligently to obtain the necessary approvals. The SEC staff anticipates	
5	that the Commissioners will consider the settlement proposals at a closed meeting	
6	of the Commission in late March or early April. The SEC staff will continue to	
7	make every effort to expedite the resolution of this action.	
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9	DATED: March 2, 2018	/s/ David Van Havermaat
10		David J. Van Havermaat Attorney for Plaintiff
11		Securities and Exchange Commission
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13	DATED: March 2, 2018	<u>/s/ S. Todd Neal</u> S. Todd Neal
14		Attorney for Defendants CannaVest
15		Corp. and Michael J. Mona, Jr.
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1 PROOF OF SERVICE 2 I am over the age of 18 years and not a party to this action. My business address 3 U.S. SECURITIES AND EXCHANGE COMMISSION, 4 444 S. Flower Street, Suite 900, Los Angeles, California 90071 Telephone No. (323) 965-3998; Facsimile No. (213) 443-1904. 5 On March 2, 2018, I caused to be served the document entitled STATUS 6 REPORT RE: SETTLEMENT on all the parties to this action addressed as stated on the attached service list: ☐ OFFICE MAIL: By placing in sealed envelope(s), which I placed for collection and mailing today following ordinary business practices. I am readily 8 familiar with this agency's practice for collection and processing of correspondence for mailing; such correspondence would be deposited with the 9 U.S. Postal Service on the same day in the ordinary course of business. 10 PERSONAL DEPOSIT IN MAIL: By placing in sealed envelope(s), which I personally deposited with the U.S. Postal Service. Each such 11 envelope was deposited with the U.S. Postal Service at Los Angeles, California, 12 with first class postage thereon fully prepaid. 13 EXPRESS U.S. MAIL: Each such envelope was deposited in a facility regularly maintained at the U.S. Postal Service for receipt of Express Mail 14 at Los Angeles, California, with Express Mail postage paid. 15 **HAND DELIVERY:** I caused to be hand delivered each such envelope to the office of the addressee as stated on the attached service list. 16 ☐ UNITED PARCEL SERVICE: By placing in sealed envelope(s) designated by United Parcel Service ("UPS") with delivery fees paid or provided 17 for, which I deposited in a facility regularly maintained by UPS or delivered to a 18 UPS courier, at Los Angeles, California. 19 **ELECTRONIC MAIL:** By transmitting the document by electronic mail to the electronic mail address as stated on the attached service list. 20 **E-FILING:** By causing the document to be electronically filed via the 21 Court's CM/ECF system, which effects electronic service on counsel who are registered with the CM/ECF system. 22 **FAX:** By transmitting the document by facsimile transmission. The 23 transmission was reported as complete and without error. 24 I declare under penalty of perjury that the foregoing is true and correct. 25 Date: March 2, 2018 26 27

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S. Todd Neal, Esq. Alex G. Brizolis, Esq. 525 B Street, Suite 2200 San Diego, CA 92101 Terry A. Coffing, Esq. MARQUIS AURBACH COFFING 10001 Park Run Drive Las Vegas, NV 89145 Sciences, Inc. and Michael J. Mona. Jr. 

## SEC v. CANNAVEST CORP. a/k/a CV SCIENCES, INC. and MICHAEL J. MONA, JR. United States District Court—District of Nevada Case No. 2:17-cv-01681-APG-PAL

## **SERVICE LIST**

PROCOPIO, CORY, HARGREAVES & SAVITCH LLP

Attorneys for Defendants CannaVEST Corp. a/k/a CV